

ESSENTIAL PROJECT INVESTMENT GOVERNANCE AND REPORTING

Preventing Project Fraud and Ensuring Sarbanes-Oxley Compliance

Steven C. Rollins, PMP • Richard B. Lanza, CPA, CFE



Maximize your project investment while reducing project disasters and fraud

Essential Project Investment Governance and Reporting

Preventing Project Fraud and Ensuring Sarbanes-Oxley Compliance

Steven Rollins & Richard Lanza

Strategies for effective project fraud control...

If your organization does not have project fraud and project investment reporting controls in operation, you face the real risk of hefty fines and possible jail time for executives under the Sarbanes-Oxley federal law. **Essential Project Investment Governance and Reporting** introduces proactive best methods for ensuring proper financial reporting of project investments and techniques for preventing, detecting, and managing the risks of fraud in projects that will ensure corporate governance compliance with Sarbanes-Oxley. It shows you how to manage project fraud through the PMO and internal auditing units while enabling overall improvement of corporate governance.

No other publication summarizes the essential U.S. financial reporting concepts on project investments in such an easily accessible manner. Whether you work in a privately held company, a non-profit, or operate within some level of the government, you will be expected to comply with these requirements because your customers will demand it. This book is a must-read for project managers, auditors and accountants in all types of firms.

Key Features

- Provides risk management processes and tools for controlling corporate objective delivery and preventing fraud in project investments
- Identifies the key, must-follow accounting and audit standards and includes structured project reporting examples, business cases, assessment tools, and checklists
- Illustrates how the Project Management Office and internal auditors can collaborate to minimize project misstatements and fraud throughout the project life cycle, while also accelerating project delivery
- Features a project roadmap that you can apply to your organization within a Program/Project Management Office that enables proper fraud control at the project level
- **WAV** Offers numerous free downloadable tools such as a project fraud management policy, risk management plan, project fraud and risk assessment checklists, decision analysis tools, and an MS Project PMO roadmap for implementing SOX 404 — available from the **Web Added Value™ Download Resource Center** at www.jrosspub.com

About the Authors

Steven Rollins, MBA, PMP, PMOP, is a well-known expert in Enterprise Program/Project Management Office, a best-selling author, and CEO of the ALLPMO Network™ Inc.

Richard Lanza, CPA, CFE, PMP, is a Project Management/PMO expert, Certified Fraud Examiner, Editor of the *Sarbanes-Oxley Journal*, and Manager of Internal Auditing for Toys 'R' Us.

Retail Price: \$59.95

Special Promotional Price:
\$49.95 when Ordered Direct
from J. Ross Publishing

Visit **J.ROSS PUBLISHING** online
at www.jrosspub.com

Essential Project Investment Governance and Reporting: Preventing Project Fraud and Ensuring Sarbanes-Oxley Compliance

Catalog no. J266, ISBN: 1-932159-26-6, January 2005, 288 pages, 6X9, Hardcover

Table of Contents

NEW CORPORATE GOVERNANCE LANDSCAPE

- The New World of Corporate Governance
- Enabling Compliance with Sarbanes-Oxley

THE EMERGENCE OF PROJECT FRAUD

- Project Failure (and Possibly Fraud) Case Study
- Project Fraud Defined and Its Many Faces
- Impacts and Motives of Project Failures and Fraud
- Impairment of Assets

OVERALL RESPONSES TO PROJECT FRAUD

- Understanding Internal Control and Using It as a Model for Reviews
- Project Fraud and Statement of Auditing Standard 99

- Assessing the Organizational and Project Control Environment
- Complete Project Fraud Risk Assessment
- General Responses to Key Project Error and Fraud Risks with Prevention and Detection Procedures
- Specific Responses to Key Project Fraud Risks with Prevention and Detection Procedures
- Utilizing the Audit Function/Program Management Office for Fraud Prevention and Detection While Maximizing Project Investments

ENABLING THE PMO AND AUDIT FUNCTION TO SUPPORT CORPORATE AND FINANCIAL REPORTING GOVERNANCE

- Defining the PMO/Internal Audit Value Proposition for Fraud Prevention and Detection
- Utilizing PMO Data Store That Supports Fraud Prevention and Detection

- Implementing Project Management Policies Through the PMO That Support Fraud Prevention and Detection
- Creating a Safety Net for the Project Teams
- Connecting Project Investment with Corporate Objectives to Improve Project Fraud Control
- Defining Where to Start
- Searching for Project Fraud within Project Investment
- Essential Project Reporting Processes That Support Fraud Detection
- Training the Organization to Manage Project Fraud Prevention and Detection
- Using Enterprise Project Management Tools for Project Fraud Detection
- The Executive Proposal for Sarbanes-Oxley Section 404 Compliance in Detail

ORDER FORM

Four Ways to Order:

- Mail** Complete this form and mail it with your Check, Credit Card Info, or Purchase Order to:



J. Ross Publishing
5765 N Andrews Way
Fort Lauderdale, FL 33309

- Telephone** Call us at **954-727-9333 ext. 2**
- Fax** Fax this form or your purchase order to **561-892-0700**
- Online** Visit us on the Web at **www.jrosspub.com**

Attention Professors:

If you wish to consider any book for class adoption, please contact J.Ross Publishing and ask about our 45-day Review Program.

Ordering Information:

US: Orders must be prepaid in US dollars or accompanied by a company purchase order. Please add appropriate shipping and handling charges for each book ordered. Florida residents add 6% sales tax.

Canada: Orders must be prepaid in US dollars or accompanied by a company purchase order. Add \$10.00 shipping and handling for the first book and \$3.00 for each additional book.

International: Orders must be prepaid in US dollars. Add \$20.00 shipping and handling for the first book and \$5.00 for each additional book ordered.

Your Satisfaction Is Guaranteed: If this book does not meet your needs, it may be returned to us in saleable condition within 20 days of receipt.

To set up a Bookstore, Association, Cataloger, or Corporate account, contact:

Stephen Buda at
Tel: 954-727-9333 ext. 5
Fax: 561-892-0700
or e-mail: salesandmarketing@jrosspub.com

Please indicate quantities next to the title(s) ordered:

ESSENTIAL PROJECT INVESTMENT GOVERNANCE and REPORTING: Preventing Project Fraud and Ensuring Sarbanes-Oxley Compliance

.....Catalog no. J266, ISBN: 1-932159-26-6, January 2005, 288 pages, 6X9, Hardcover
Special Direct Price \$49.95 each.

Shipping & Handling: Add \$6.95 for the first book ordered, and \$2.00 for each additional book.
Tax: Florida residents add 6% sales tax.

Name
please print clearly

Company/Institution

Address

City State/Province Zip/Postal Code

Country

If you would like to receive information from us by e-mail, please provide your e-mail address below.

E-Mail Address

Visa MasterCard American Express Check Enclosed \$
Exp. Date: _____
Month Year

Signature and Telephone Number required on all orders

Signature PO#

Telephone